

10/507439
DT09 Rec'd PCT/PTO 13 SEP 2004 #4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): PFAUS

Examiner:

Serial No.: not yet assigned

Date: September 13, 2004

Filed: Herewith

Docket: 298-261

For: DEVICE FOR MANUALLY SHARPENING KNIVES AND OTHER
BLADES, COMPRISING INTERCHANGABLY MOUNTED HARD
METAL PLATES

MAIL STOP: PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed on the attached form PTO-1449 is brought to the attention of the Examiner. A copy of the listed items is enclosed.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to a substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service on this date September 13, 2004 as "Express Mail Post Office to Addressee" Mail Label Number EV480872098US addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450-MAIL STOP PCT

September 13, 2004
Date



GEORGE M. KAPLAN

DE 197 03 807 is cited in the International Search Report and U.S.

Patent Nos. 5618028 and 5620363 are equivalent to DE 69324248.

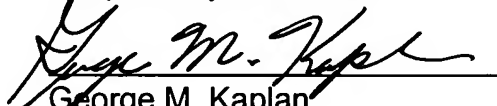
The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- ☐ This information disclosure statement is being filed within three (3) months of the filing date of this application.
- ☒ This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application.
- ☐ To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- ☐ Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e)(1).
- ☐ Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).
- ☐ Enclosed by check is the petition fee of \$130.00. 37 C.F.R. § 1.17(i)(1))
- ☐ Please charge the **\$130.00** petition fee to Deposit Account No. 04-1121.
- ☐ Enclosed by check is the **\$180.00** fee required by 37 C.F.R. § 1.17(p).
- ☐ Please charge the **\$180.00** fee required by 37 C.F.R. § 1.17(p) to Deposit Account No. 04-1121.

[X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor.
TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,


George M. Kaplan
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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
298-261

SERIAL NO.

INFORMATION DISCLOSURE
STATEMENT BY APPLICANTAPPLICANTS
Pfaus

10/507439

FILING DATE
(herewith) September 13, 2004

GROUP ART UNIT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6142038	11/00	Kenesky et al.			
	5461942	10/95	Kelley			
	5291805	03/94	Byers et al.			
	3149506	09/64	Heinmiller			
	5618028	04/97	Hepworth			
	5620363	04/97	Hepworth			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	408887	01/68	Australia				
	19703807	11/98	Germany				
	69324248	07/99	Germany				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.